

Capability Matrix

Our commitment to source effective solutions for our clients

The Vermilions

a group of independently owned fund administration companies that have chosen to come together under one common branding platform which is owned in equal shares. This is not a holding company - each constituent company remains fully independent but in pursuing the same client-centric service culture, the Principals of each company have decided to work together in a pragmatic and uncomplicated way.

All in the spirit to create effective solutions and add value to our clients and prospects.

- Fund administration solutions to cover various collective investment scheme structures domiciled in all the major onshore and offshore jurisdictions .
- High touch quality service with a hands-on approach from the Principals
- Cost competitive to be fully aligned with client success
- Solution orientated and a willingness to be flexible. The Principals to work together and collaborate to help their clients achieve their goals
- Sole focus on fund administration with an extensive eco-system of like-minded service providers with a non-competitive, transparent and conflict free relationship
- A resource for investment managers when they wish to explore new markets by tapping into the network and eco-system of the constituent companies
- A potential outsourcing solution for firms who might presently provide fund administration services as part of a bundle but may wish to consider exiting this service to focus on more profitable core services

Our Core Services



Fund Governance & Regulatory Compliance

- Provide independent director services (if not in conflict with other services provided)
- Organise and minute BoD and shareholder meetings
- Deal with statutory and listing filings



Investor Services Registrar & Transfer Agent

- Facilitating current and new investors in the CIS with all subscriptions / redemptions / share transfers
- Investor Due Diligence (AML / KYC)
- Distributing regular CIS investor statements
- Collecting all documents to identify investors to conform with anti-money laundering and counter terrorist financing laws and regulations
- Making redemption payments and other distributions to investors
- Provide AML, MLRO services
- Provide FATCA, CRS registration and reporting services



Fund Accounting Valuation Agent

- Independent calculation of CIS NAV's on a daily/ weekly/ bi-weekly/monthly/ quarterly/ annual basis
- Making all day to day bank payments in accordance with the CIS Offering Document
- Making margin call payments following instructions from the CIS investment manager
- Preparing financial statements for audit in accordance with international accounting standards and liaising with the CIS auditors to help them complete their work.

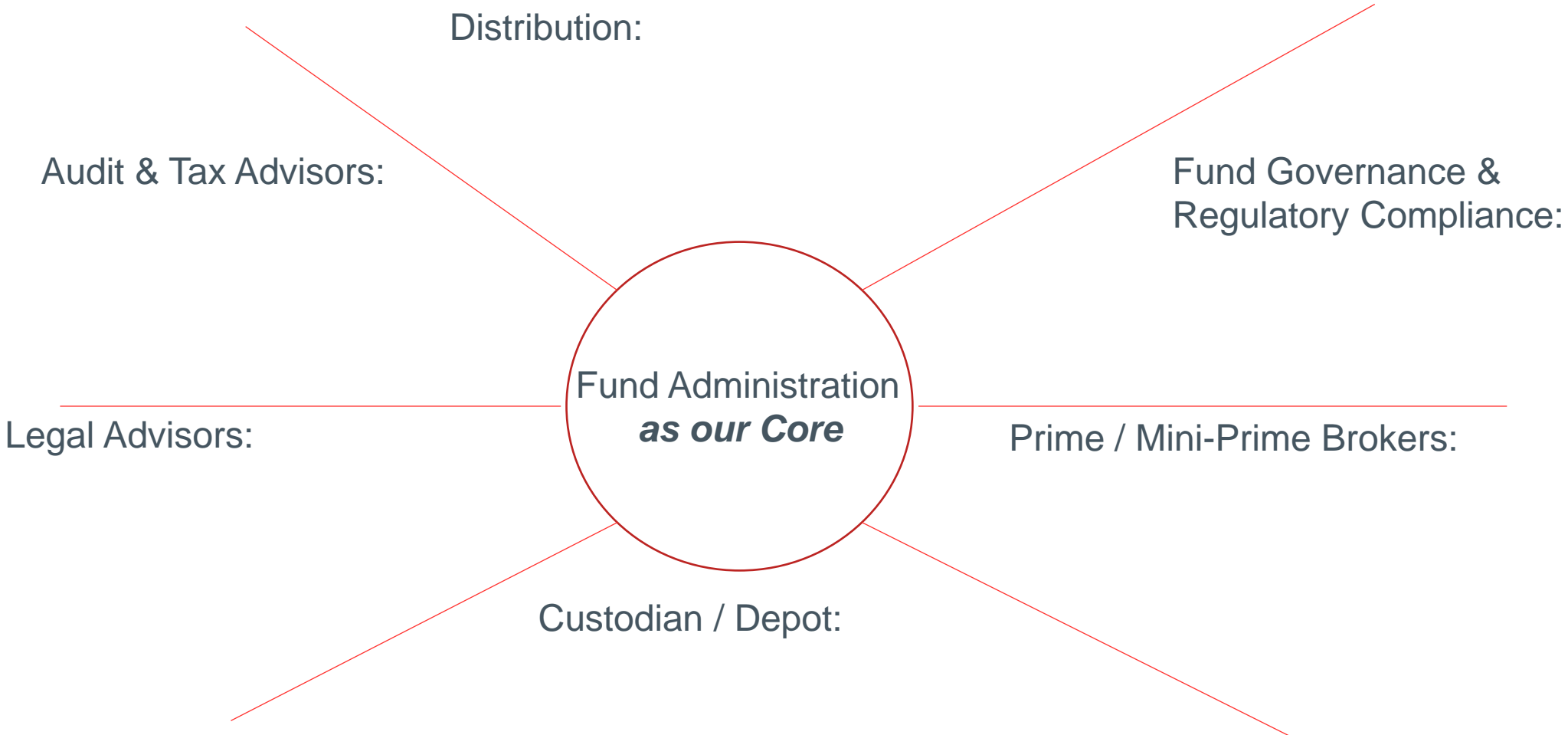
Locations, Jurisdiction and Structures covered



Switzerland	All CIS structures	
Luxembourg	SIF, RAIF, SLP	
Ireland		UCITS, QIAF, ILP
Netherlands	Fund for Common Acct LLC, LP	
UK		to be announced Q1/22
Singapore		VCC
USA		LP, LLC
Cayman Island	All CIS structures	All CIS structures
Malta	NAIF, PIF	
Bahamas	ICON, Smart Funds	Smart Funds & regulated CIS structures
BVI	All CIS structures	All CIS structures

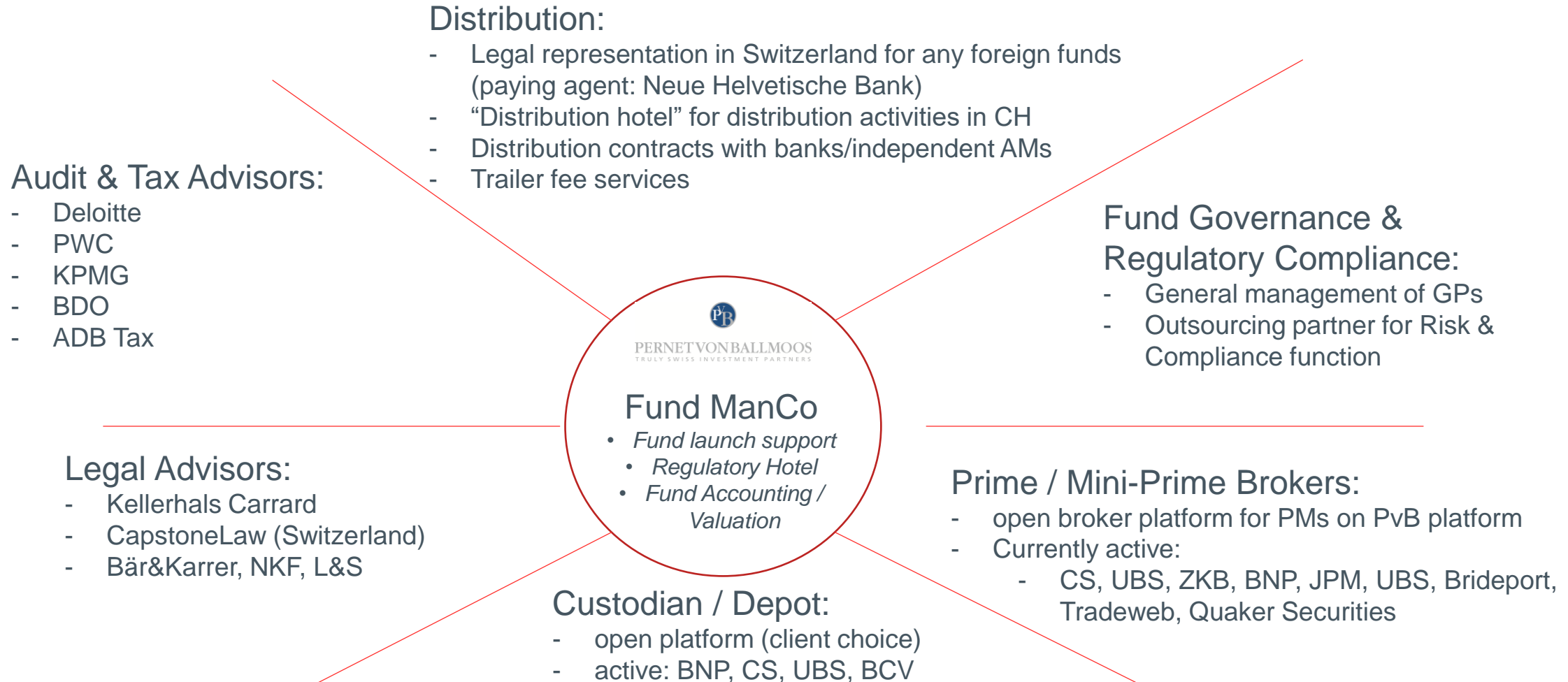
CIS = Collective Investment Schemes for qualified / professional investors

Our experience and eco-system at your disposal conflict free

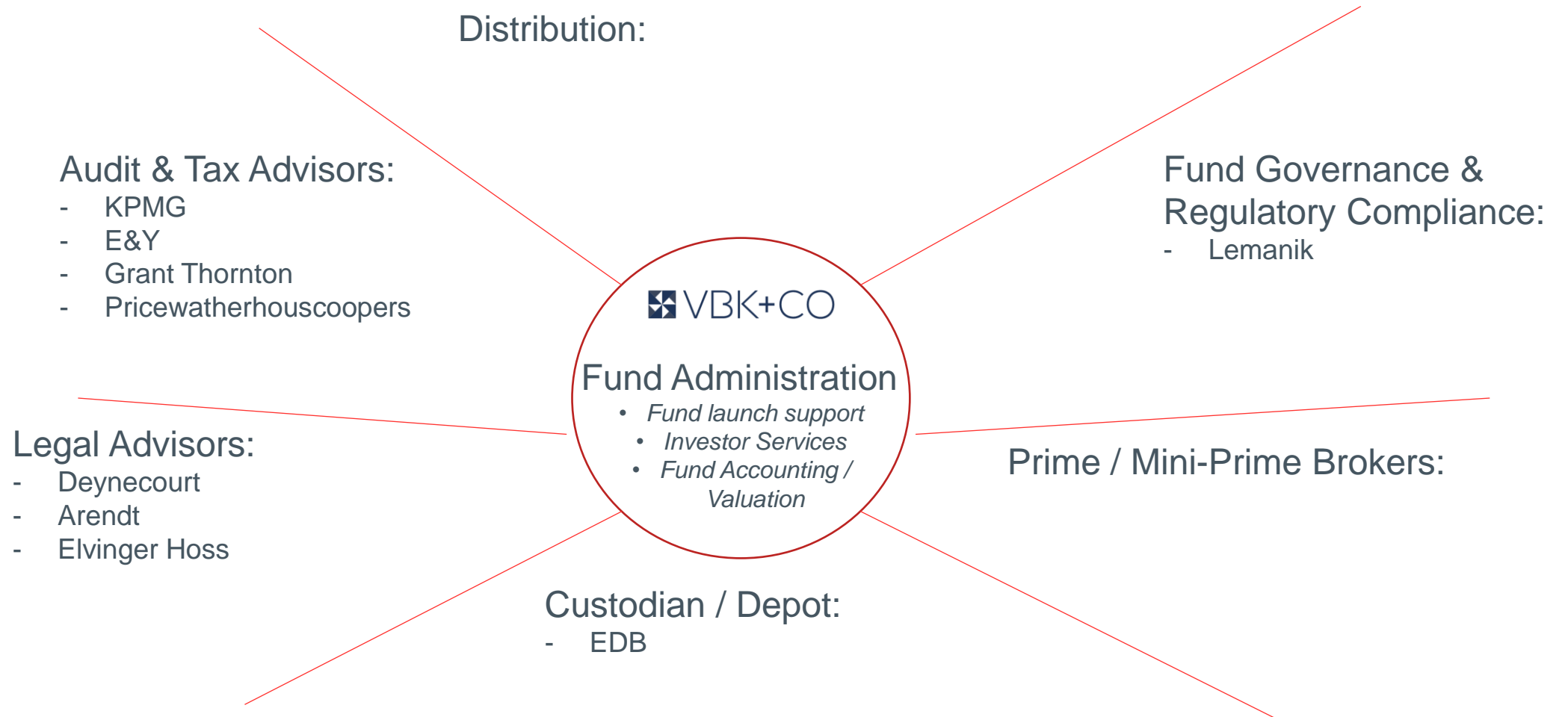


Switzerland (all CIS structures)

Swiss Entrepreneurs Fund, regulated AMs in CH, Private Equity/Debt Vehicles of large pension plans

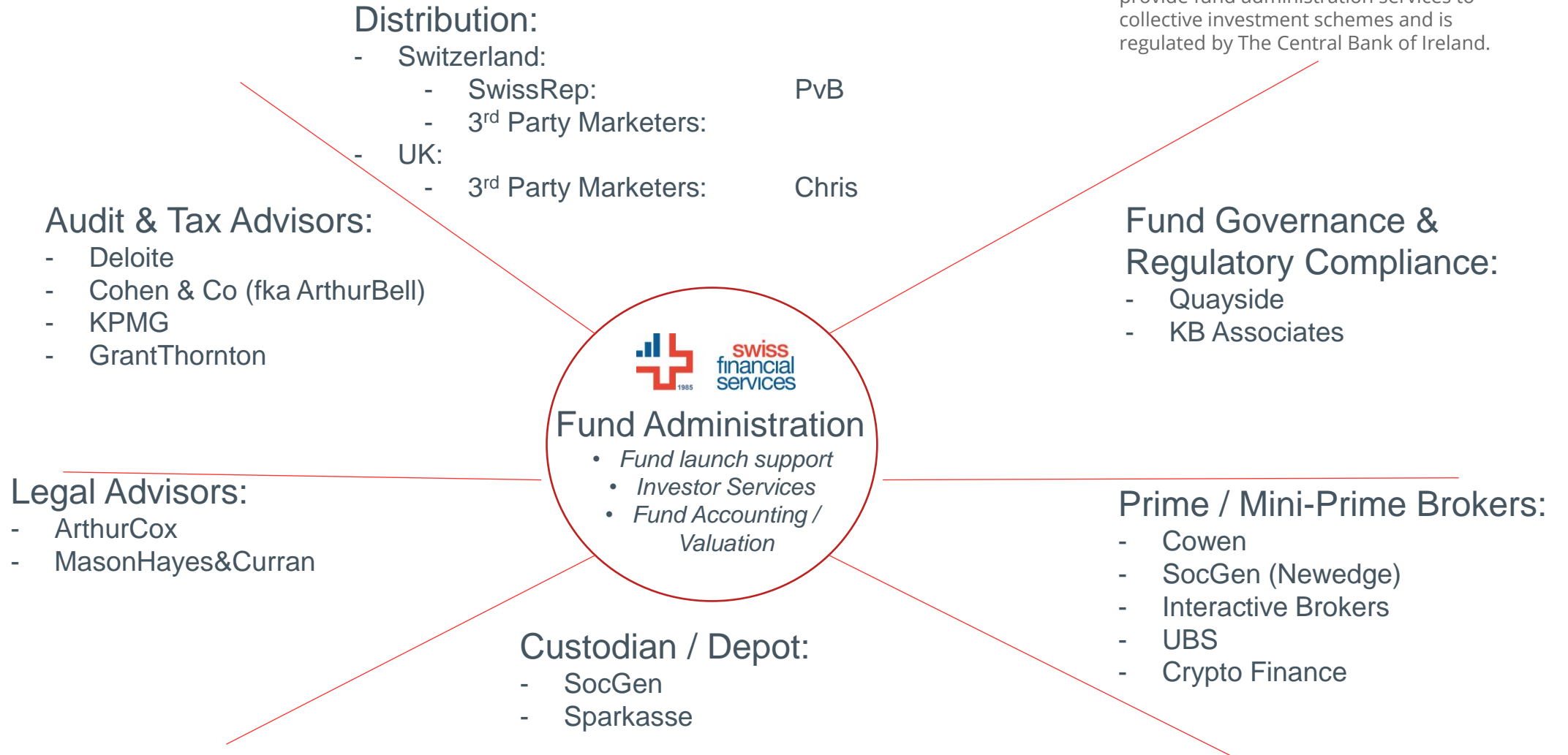


Luxembourg (SIF, RAIF, SLP)

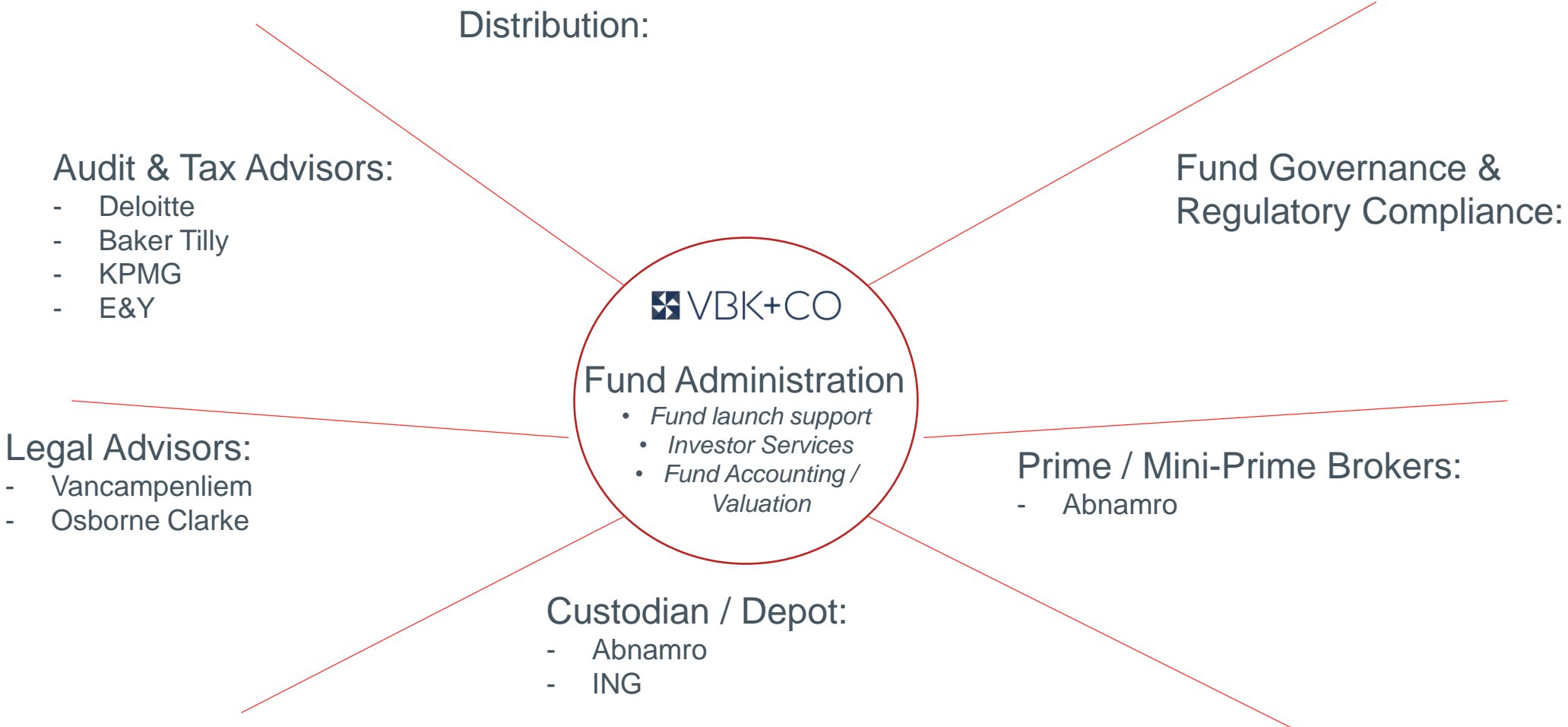


Ireland (UCITS, QIAIF, ILP)

Swiss Financial Services (Ireland) Limited is authorized under Section 10 of The Investment Intermediaries Act, 1995 to provide fund administration services to collective investment schemes and is regulated by The Central Bank of Ireland.



Netherlands (Fund for common account, LLC, LP)



Singapore (VCC)

Distribution:

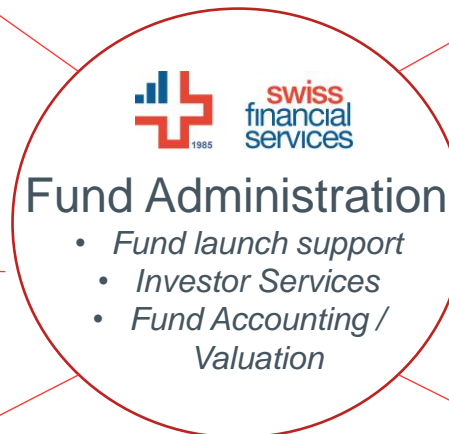
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Audit & Tax Advisors:

- KPMG
- EY
- PWC
- RSM
- BDO
- Baker Tilly

Legal Advisors:

- Rajah & Tann
- Chris Chong & CT Ho LLP
- Drew & Napier LLC
- Eng and Co. LLC
- Clifford Chance



Custodian / Depot:

- Pictet
- BNP Paribas
- DBS
- Maybank KimEng

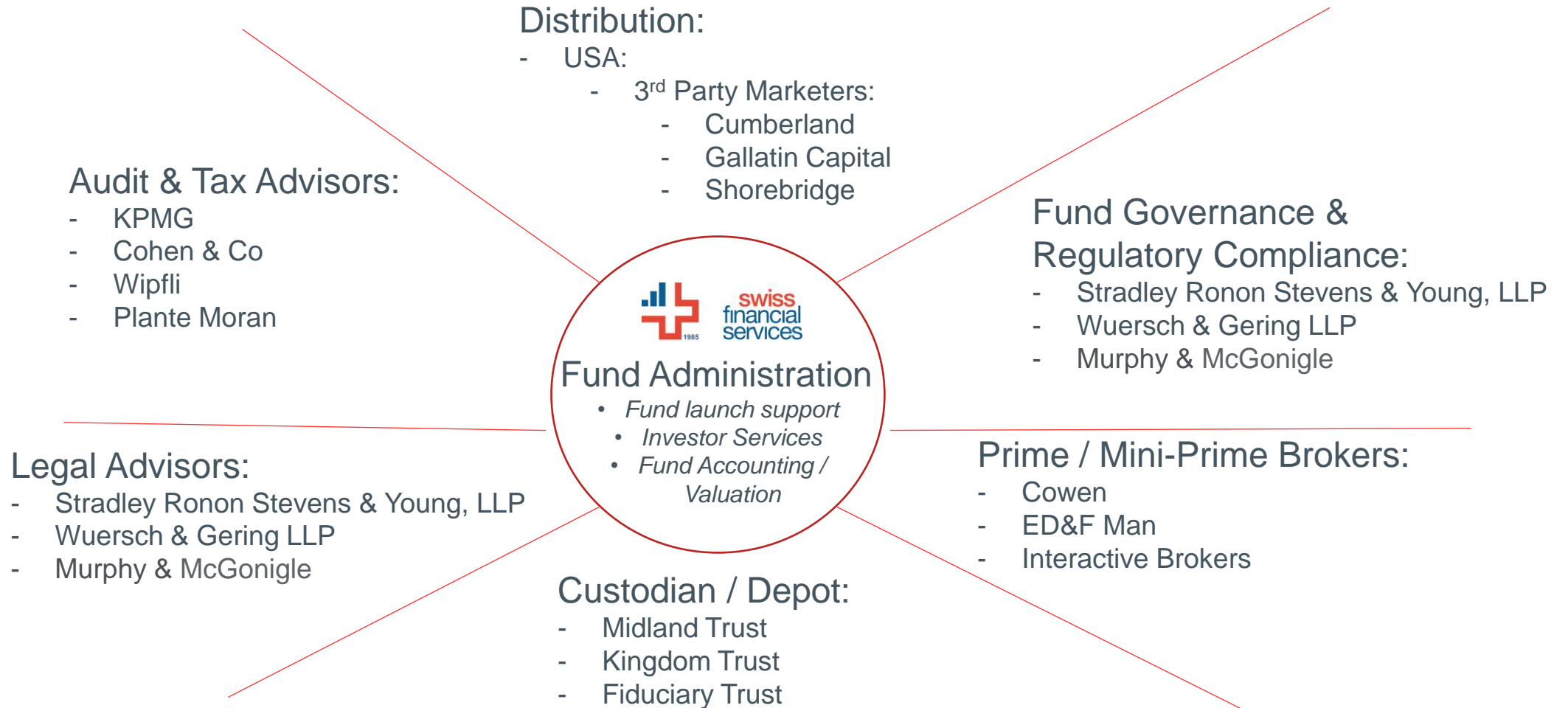
Fund Governance & Regulatory Compliance:

- Waystone
- ComplianceAsia
- Dhruva
- Lymon

Prime / Mini-Prime Brokers:

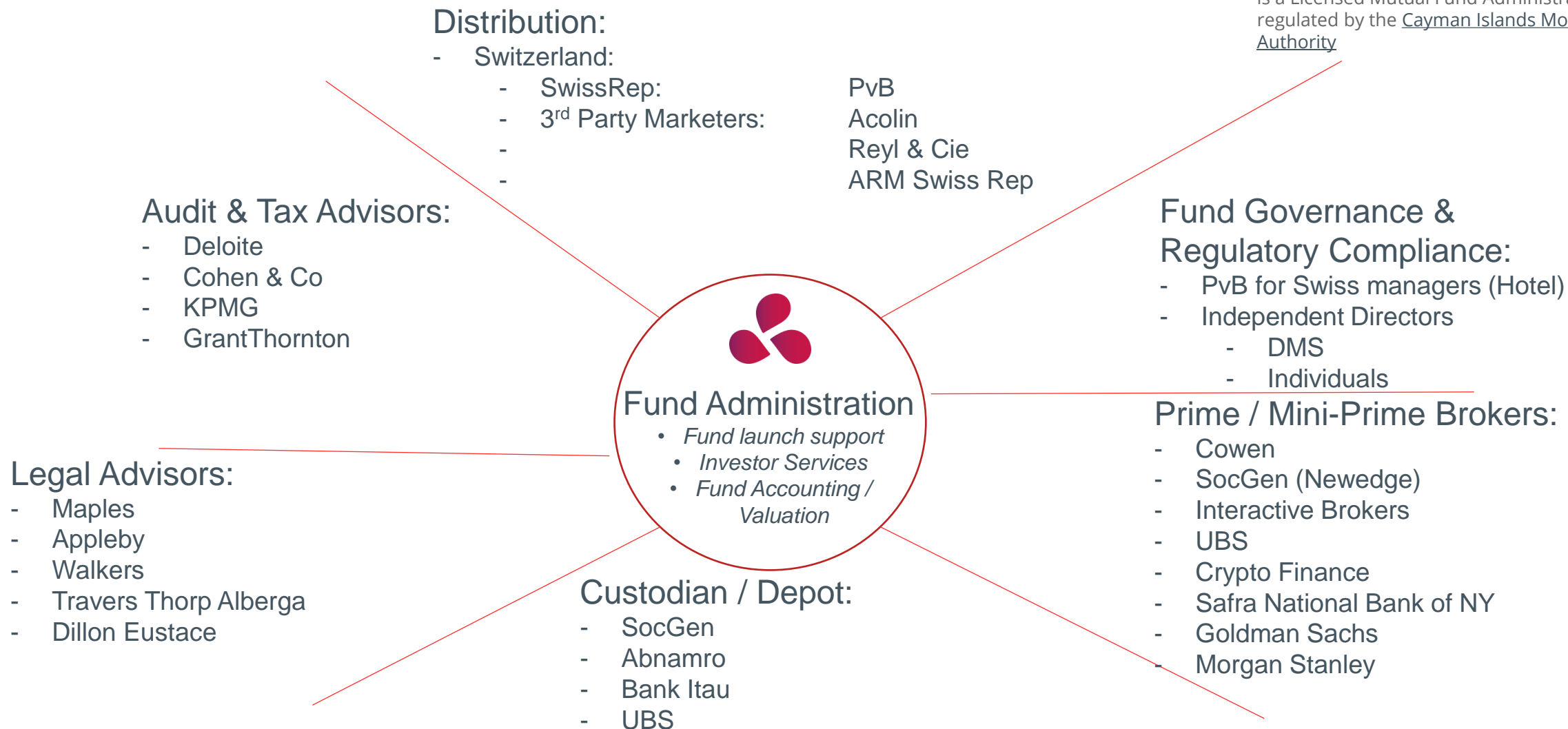
- Credit Suisse
- Societe Generale
- BNP Paribas
- Nomura
- Saxo
- Cowen
- Interactive Brokers

USA (LP, LLC)

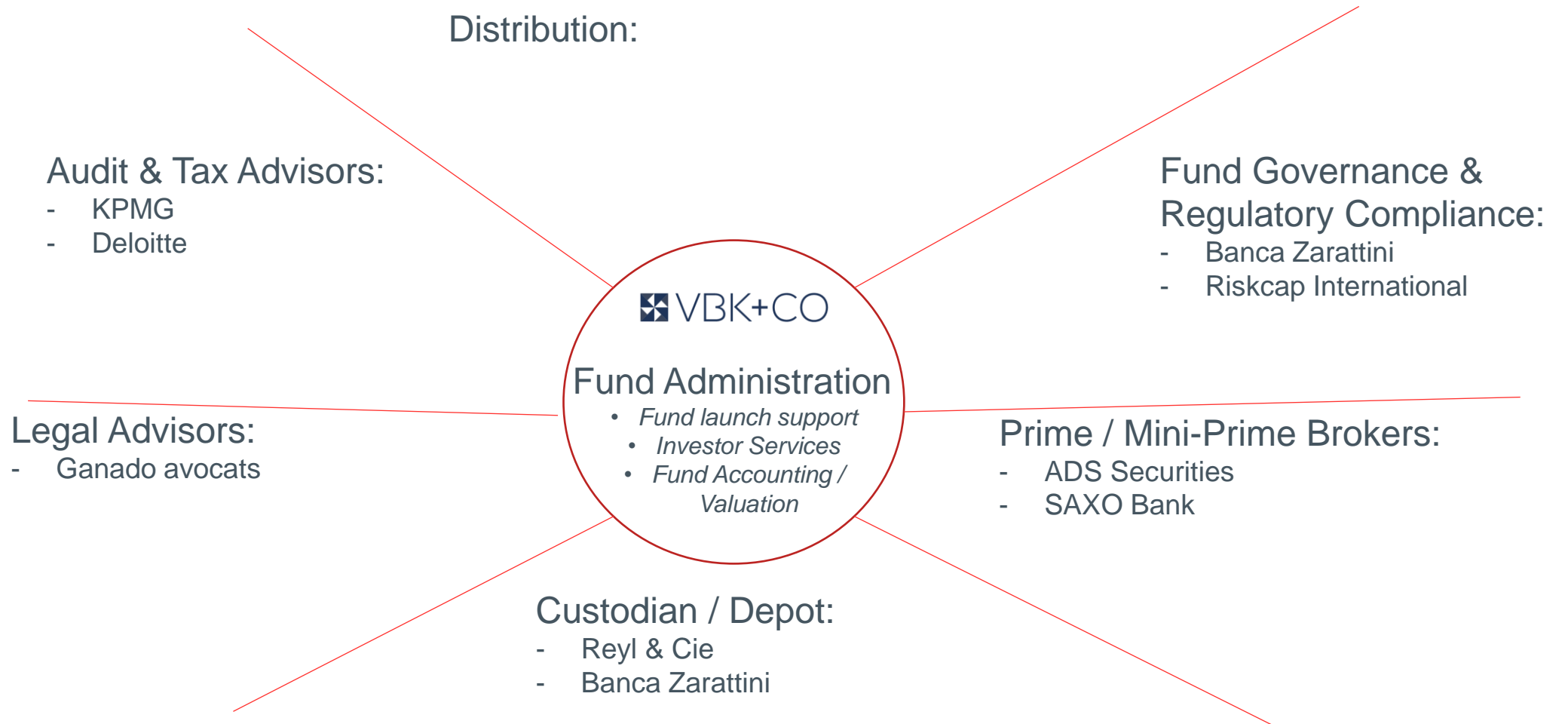


Cayman Island (All CIS structures)

Swiss Financial Services (Cayman) Ltd is a Licensed Mutual Fund Administrator regulated by the [Cayman Islands Monetary Authority](#)



Malta (PIF, NAIF)



Bahamas (All CIS structures)

Swiss Financial Services (Ireland) Limited is authorized under Section 35(1)(b) of the Investment Funds Act, 2019 to provide fund administration services to collective investment schemes and is regulated by The Securities Commission of The Bahamas

Distribution:

- Switzerland:
 - SwissRep: PvB
 - 3rd Party Marketers: Acolin
 -

Audit & Tax Advisors:

- Deloitte
- Cohen & Co
- KPMG
- GrantThornton

Fund Governance & Regulatory Compliance:

- DMS



Prime / Mini-Prime Brokers:

- UBS
- Crypto Finance
- Safra National Bank of NY
- Goldman Sachs
- Morgan Stanley

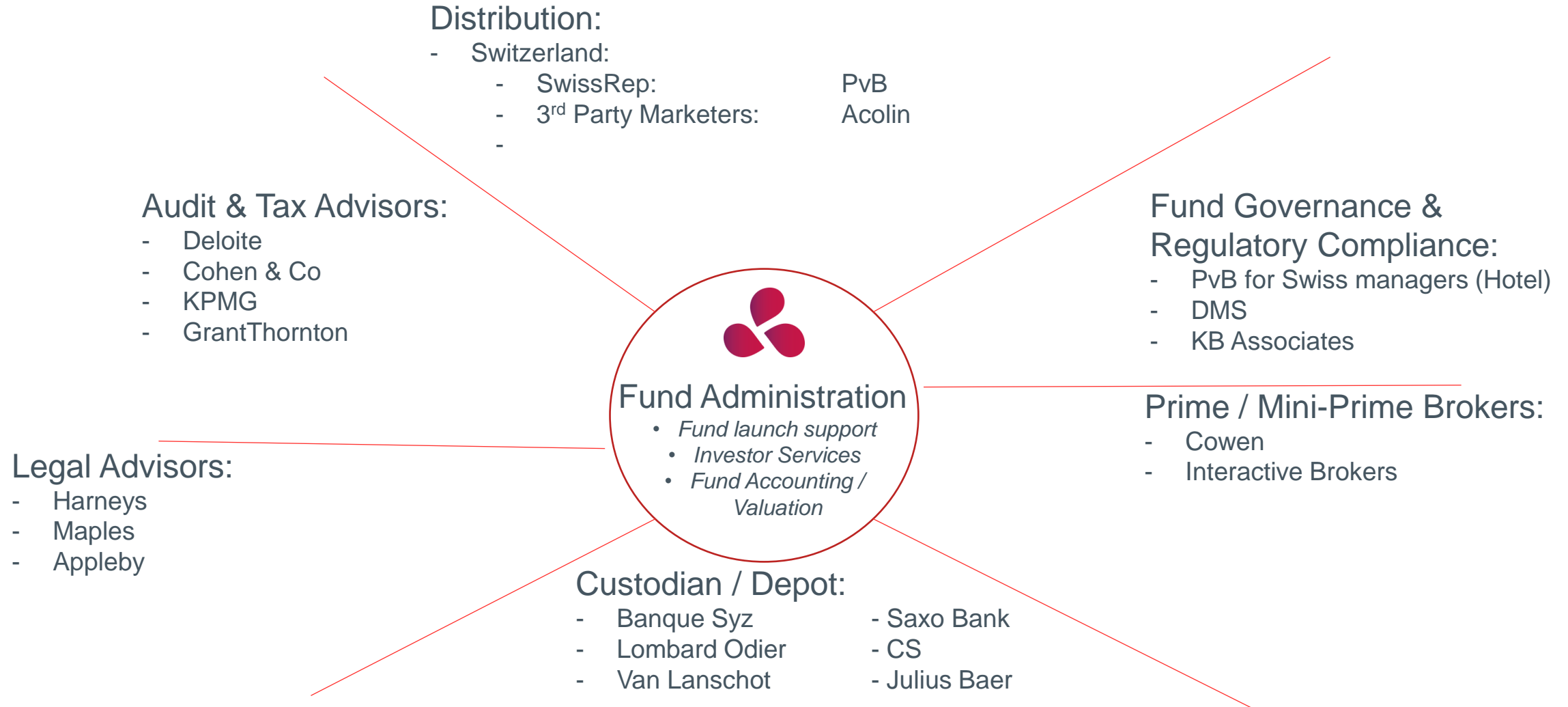
Legal Advisors:

- Maples
- Appleyby
- Walkers
- Travers Thorp Alberga
- Dillon Eustace

Custodian / Depot:

- SocGen
- Abnamro
- Bank Itau
- UBS

BVI (All CIS structures)



Thank you.